UNITED STATES BANKRUPTCY CO	URT
SOUTHERN DISTRICT OF NEW YOR	RK

	X	
	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., et al.	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 55744, 55843,
	:	55844, 55845, 55846, 55847,
	:	55848, 55850, 55851, 55852,
	:	55853, 55865, 55877, 55878,
	:	55879, 55880, 55881, 55897,
	:	55898, 55899, 55901, 55902,
	:	55903, 55904
	$\mathbf{Y}$	

#### **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK	)	
	)	ss.:
COUNTY OF NEW YORK	)	

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

- 1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On August 3, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated August 3, 2017, a sample of which is annexed hereto as <a href="Exhibit A">Exhibit A</a>, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed <a href="Exhibit B">Exhibit B</a>.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos Konstantina Haidopoulos

Sworn to before me this 4<sup>th</sup> day of August, 2017
/s/ Diane M. Streany
Notary Public, State of New York
No. 01ST5003825
Qualified in the Westchester County
Commission Expires November 2, 2018

## **EXHIBIT A**

UNITED STAPES 13555 topped Dog 55957 Southern District of New York

Filed 08/10/17 Entered 08/10/17 19:04:36 Main Document Pq 3 of 6

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

#### NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

transferor refers to the claimant who is selling or otherwise assigning its claim. While Note: For Purposes of this form, transferee refers to the party who is purchasing or othewise being assigned the claim.

To:

BAR(23) MAILID \*\*\* 000122128234 \*\*\*

LBH TRFNTC (ADDRESS2, ADRKEYID3) 22479



PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK, NY 10019

BANK HAPOALIM (SWITZERLAND), LTD. ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER STOCKERSTRASSE 33 ZURICH CH-8002 SWITZERLAND

Please note that your claim # 555855-28 in the above referenced case and in the amount of \$200,000.00 allowed at \$200,269.44 has been transferred (unless previously expunged by court order)

UBS AG, ZURICH TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD. BAHNOFSTRASSE 45 CH-8001 ZURICH **SWITZERLAND** 

No action is required if you do not object to the transfer of your claim. However IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT

Southern District of New York

One Bowling Green

New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 55850 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 08/03/2017 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 3, 2017.

## **EXHIBIT B**

# 08-13555-mg Doc 55957 Filed 08/10/17 Entered 08/10/17 19:04:36 Main Document

Claim Name	Address Information
ALLIANZ BANK FINANCIAL ADVISOR S.P.A.	TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA SPA, ATTN: FRANCO BRICHETTI -
	FRANCESCA TREBBI, PIAZZALE LODI, 3, 20137 MILANO ITALY
BANCA MEDIOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT, VIA F. SFORZA, 15, BASIGLIO ( MILANO ) 20080 ITALY
BANCA MONTE DEI PASCHI DI SIENA SPA	TRANSFEROR: VENETO BANCA HOLDING SCPA, ATTN: VALERIA VIGANO, VIA ROSELLINI 16, MILANO 20124 ITALY
BANK HAPOALIM (SWITZERLAND), LTD.	ATTN: BRIGITTE FOTSCH & RUDOLF BRUNNER, STOCKERSTRASSE 33, ZURICH CH-8002 SWITZERLAND
BANK HAPOALIM (SWITZERLAND), LTD.	PAUL WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: UBS AG, ATTN: PATRIK ROOS, BAHNOFSTRASSE 36, ZURICH CH-8010 SWITZERLAND
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: ANJA SONNLEITHNER - INVESTMENT SERVICES, BETHMANNSTRABE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: ANJA SONNLEITHNER - INVESTMENT SERVICES, BETHMANNSTRABE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: ANJA SONNLEITHNER - INVESTMENT SERVICES, BETHMANNSTRABE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BETHMANN BANK AG	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, BETHMANNSTRASSE 7-9, FRANKFURT AM MAIN 60311 GERMANY
BORNER, HORST HELMUT & LLSE HELGA	TRANSFEROR: BETHMANN BANK AG, HOLZGASSE 24, 50171 KERPEN GERMANY
BORNER, HORST HELMUT AND BORNER, ILSE HELGA	TRANSFEROR: BETHMANN BANK AG, HOLZGASSE 24, 50171 KERPEN GERMANY
BORNER, KLAUS HELMUT	TRANSFEROR: BETHMANN BANK AG, SCHAVENSTRASSE 45, 50171 KERPEN GERMANY
CASSA DI RISPARMIO DEL VENETO S.P.A.	TRANSFEROR: BANCA MEDIOLANUM S.P.A., ATTN: DANIELA TOFFANO, VIA TRIESTE 57/59, 35121 PADOVA ITALY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	ATTN: WILHELM HUELSKEN, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019-6064
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: TRC MASTER FUND LLC, ATTN: JOELLE GAVLICK, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: TRC MASTER FUND LLC, ATTN: JOELLE GAVLICK, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: KING STREET ACQUISITION COMPANY, LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: KING STREET ACQUISITION COMPANY, LLC, ATTN: KENNETH KEELEY, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013

### 08-13555-mg Doc 55957 LEHMAN BROTHERS HOLDINGS INC. Filed 08/10/17 Entered 08/10/17 19:04:36 Main Document

Claim Name	Address Information
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: TRC MASTER FUND LLC, ATTN: JOELLE GAVLICK, 390 GREENWICH STREET, 4TH FLOOR, NEW YORK, NY 10013
ECOGREEN MARKETING LIMITED	TRANSFEROR: FILHO, JOSE KALIL AND MEYER, GLORIA KALIL JTTEN, TRIDENT CHAMBERS 146, ROADTOWN, TORTOLA BRITISH VIRGIN ISLANDS
ECOGREEN MARKETING LIMITED	TRANSFEROR: KALIL FILHO, JOSE & KALIL MEYER JTTEN, GLORIA, TRIDENT CHAMBERS 146, ROADTOWN, TORTOLA BRITISH VIRGIN ISLANDS
FASSBENDER, KARL-HEINZ	TRANSFEROR: BETHMANN BANK AG, KONIFERENSTRASSE 5, 41470 NEUSS GERMANY
FASSBENDER, MICHAEL	TRANSFEROR: BETHMANN BANK AG, KONIFERENSTRASSE 5, 41470 NEUSS GERMANY
FILHO, JOSE KALIL AND MEYER, GLORIA KALIL JTTEN	C/O MORGAN STANLEY PRIVATE WEALTH MANAGEMENT, ATTN: TEAM BRAZIL, 200 S. BISCAYNE BLVD, 51ST FLOOR, MIAMI, FL 33131
HOLZLEIN, JOHANN & HEIDE GABRIELE	TRANSFEROR: BETHMANN BANK AG, HOHER RAIN 10, 92289 URSENSOLLEN GERMANY
KALIL FILHO, JOSE & KALIL MEYER JTTEN, GLORIA	C/O MORGAN STANLEY PRIVATE WEALTH MANAGEMENT, ATTN: TEAM BRAZIL, 200 S. BISCAYNE BLVD, 51ST FLOOR, MIAMI, FL 33131
MATT, HANNELORE MINCHEN MINNA	TRANSFEROR: BETHMANN BANK AG, ZEITLBERGSTRABE 17, 93197 ZEITLARN GERMANY
MEIER, ELKE MARIA	TRANSFEROR: BETHMANN BANK AG, MARKGRAFENSTRASSE 46, 40545 DUSSELDORF GERMANY
MEIER, ELKE MARIA	TRANSFEROR: BETHMANN BANK AG, MARKGRAFENSTRASSE 46, 40545 DUSSELDORF GERMANY
MEYER-MADAUS, BEATRIX ALWINE A.	TRANSFEROR: BETHMANN BANK AG, LEYBOLDSTRABE 56, 50968 KOLN GERMANY
NEUE AARGAUER BANK AG	ATTN: PAUL GILMORE, ELEVEN MADISON AVE, NEW YORK, NY 10010
NEUE AARGAUER BANK AG	ATTN: RICHARD LEVIN, JENNIFER BOSHAKOVA, CRAVATH SWAINE & MOORE LLP, 825 8TH AVENUE, WORLDWIDE PLAZA, NEW YORK, NY 10019
NEUE AARGAUER BANK AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
NEUE AARGAUER BANK AG	BAHNHOFSTRASSE 49, AARAU 5001 SWITZERLAND
OESTERHELD, DR. HEINZ & GERTRAUD M.	TRANSFEROR: BETHMANN BANK AG, SIEMENSSTRABE 5, 94327 BOGEN GERMANY
TIETJE, MRS. ELLEN AMANDA ANNA	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA, STEENWISCH 76, 22527 HAMBURG GERMANY
UBS AG	TRANSFEROR: NEUE AARGAUER BANK AG, BAHNOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
UBS AG, ZURICH	TRANSFEROR: BANK HAPOALIM (SWITZERLAND), LTD., BAHNOFSTRASSE 45, CH-8001 ZURICH SWITZERLAND
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
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VARDE INVESTMENT PARTNERS, LP	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC., ATTN: EDWINA PJ STEFFER, 901 MARQUETTE AVE S. SUITE 3300, MINNEAPOLIS, MN 55402
WOLF, WALTRAUD	TRANSFEROR: BETHMANN BANK AG, STAUFENSTRABE 30, 53859 NIEDERKASSEL GERMANY
WOLF, WALIKAUD	TRANSFEROR BEITHMANN DANK AG, STAUFENSTRABE 30, 33839 NIEDERRASSEL GERMANY

**Total Creditor Count 56**